**REMEDIATION PLAN**

Problem Statement: Government agency identifies challenges related to regulatory compliance.

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| **Step** | **Description** | **Example** |
| **1.** | Conducting a risk assessment | Identify risks such as non-compliance with regulatory standards, gaps in reporting requirements, or inadequate internal controls. Assess the likelihood and potential impact of each risk. |
| **2.** | Identifying the root cause of the problem | Determine that unclear policies, limited staff training, and outdated technology systems contribute to the compliance challenges. |
| **3.** | Developing an action plan | Revise and clarify policies, provide comprehensive training programs for staff on compliance procedures, and invest in modernizing technology systems for enhanced reporting and monitoring. Set a timeline of six months for policy revisions, ongoing training programs, and one year for technology upgrades. |
| **4.** | Assigning responsibilities and resources | Assign the legal department to review and revise policies, engage the human resources department to organize training programs, and allocate a budget for technology upgrades and staff development. |
| **5.** | Monitoring progress and reporting results | Regularly assess compliance levels, conduct internal audits, and track the implementation of new policies and technologies. Generate progress reports to update agency leadership, regulatory bodies, and the public on completed actions, ongoing initiatives, and improvements in compliance measures. |