



**CONFERENCE AGENDA**

**SUNDAY, MARCH 26, 2017** Mason & Rook Hotel, Check In and Exhibitor set-up

6:00 pm RITA Board of Directors' Meeting - Radiator Restaurant Mason & Rook Hotel

**MONDAY, MARCH 27, 2017** Mason & Rook Hotel, The Exchange Ballroom

7:30 am – 8:30 am Continental Breakfast, The Exchange Pre-function Room

8:30 am – 9:00 am President's Welcome - Tom Anderson Sponsors Introduced

9:00 am – 9:45 am Washington Update: Michael Hadley, Partner, Davis & Harman

9:45 am – 10:00 am Morning Break

10:00 am – 11:30 am *Federal and State Regulatory Perspectives:* Judith Shaw, Securities Administrator, Maine Office of Securities and Past President of the North American Securities Administrators Association (NASAA); Valerie Mirko, General Counsel, NASAA; Daniel Sibears, Executive Vice President, FINRA; Anthony Kelly, Co-Chief of Asset Management Unit, Securities and Exchange Commission; Tom Anderson, Moderator

11:30 am – 12:00 pm Sponsor Presentations

12:00 pm – 1:00 pm Buffet Lunch with Sponsors

1:00 pm – 1:45 pm *The Latest GAO Study on the Self-Directed Industry:* Jonathan McMurray, Senior Analyst, Education, Workforce, and Income Security Team, US Government Accountability Office (GAO)

1:45 pm – 2:00 pm Questions and Answers

2:00 pm – 2:45 pm *Americans' Views on Retirement Plans: The Latest Research:* Sarah Holden, Ph.D., Senior Director, Retirement and Investor Research, Investment Company Institute

2:45 pm – 3:15 pm Afternoon Break

**MONDAY, MARCH 27, 2017** **ROUNDTABLE** **TOPICS** **MODERATOR** **LOCATION**

3:15 pm – 4:00 pm Session 1 Valuation: Practices/Procedures Sorensen Byrne

3:15 pm – 4:00 pm Session 2 Operations Best Practices Robertson Marshall

4:15 pm – 5:00 pm Session 3 TPAs: Best Practices Hall Byrne

4:15 pm – 5:00 pm Session 4 Trust Companies: Best Practices Anderson Merritt Marshall

5:45 pm Meet in Hotel Lobby for Optional Dinner



**CONFERENCE AGENDA CONTINUED**

**MONDAY, MARCH 27, 2017** Mason & Rook Hotel, The Exchange Ballroom

5:45 pm Optional Dinner at La Chaumiere, 2813 M Street, NW (Georgetown)  
Must Purchase Ticket on Eventbrite - \$100

**TUESDAY, MARCH 28, 2017** Mason & Rook Hotel, The Exchange Ballroom

7:30 am – 8:30 am Continental Breakfast – The Exchange Pre-function Room

8:30 am – 9:00 am Executive Director's Report: Mary Mohr

9:00 am – 10:30 am **Congressional and Treasury Updates:** Rob Neis, Benefits Tax Counsel, Department of the Treasury; Aharon Friedman, Tax Counsel, Committee on Ways & Means, US House of Representatives; Preston Rutledge, Tax and Benefit Counsel, Senate Finance; Kara Getz, Chief Counsel for the House Ways & Means Committee for Ranking Member Richard Neal; Moderator: Mark Iwry; Tom Anderson, RITA President

10:30 am – 10:45 am Morning Break

10:45 am – 11:30 am **Insights into the IRS & IRAs:** Vicki Judson, Associate General Counsel (TEGE), IRS; Neil Sandhu, Office of Chief Counsel, Senior Technician Reviewer, Qualified Plans, Branch 1, IRS

11:30 am – 12:00 pm Sponsor Presentations

12:00 pm – 1:00 pm Buffet Lunch with Sponsors

1:00 pm – 1:45 pm **Sustainable and Responsible Investing in Retirement Plans:** Meg Voorhes; Sustainable and Responsible Investing in the United States and the Outlook for Retirement Plans

1:45 pm – 2:30 pm **SIFMA Update on IRA Regulations:** Lisa Bleier, Managing Director and Associate General Counsel, Securities Industry and Financial Markets Association

2:30 pm – 3:00 pm Afternoon Break

TUESDAY, MARCH 28, 2017	ROUNDTABLE	TOPICS	MODERATOR	LOCATION
3:00 pm – 3:40 pm	Session 1	Social Investing	Voorhes	Byrne
3:00 pm – 3:40 pm	Session 2	Fraud Prevention	Hall	Marshall
3:50 pm – 4:30 pm	Session 3	Cash Management/ Titling Assets	Anetsberger, Constantino, Anderson	Byrne
3:50 pm – 4:30 pm	Session 4	Consumer Education	Mohr	Marshall



## RITA'S 30TH ANNIVERSARY CONFERENCE

March 27-29, 2017, Mason & Rook Hotel  
1430 Rhode Island Ave., NW,  
Washington, DC 20005

### CONFERENCE AGENDA CONTINUED

**TUESDAY, MARCH 28, 2017**

Mason & Rook Hotel, The Exchange Ballroom

4:30 pm – 5:00 pm

Open Discussions - The Exchange Ballroom

6:00 pm – 7:00 pm

RITA's 30th Anniversary Celebration Reception : Rooftop

**WEDNESDAY, MARCH 29, 2017**

**FOR MEMBERS ONLY**

7:30 am – 8:30 am

Continental Breakfast - The Exchange Pre-function Room

8:30 am – 10:00 am

Members Only Closed Discussions

10:00 am – 10:15 am

Morning Break

10:15 am – 12:00 am

Members Only Closed Discussions

**NEXT RITA CONFERENCE: NEW ORLEANS OCTOBER 18-20**