Update: May 2013

STANDARD GRANT APPLICATION FORM FOR "GRANTS FOR AN ACTION" \ast

(Monobeneficiary)

PROGRAMME CONCERNED

Call for proposals to support European road safety actions aimed at tackling problems related to vulnerable road users

| REFERENCE NUMBER OF THE CALL FOR PROPOSALS |
|---|
| MOVE/C4-2014/298 |
| |
| SUMMARY OF THE APPLICATION |
| Title: |
| Identity of the applicant: |
| Summary of the action (in no more than 10 lines): |
| Duration (in months): |
| Requested amount (in €): |

An application will be accepted only if it:

- is submitted on the correct form, completed in full and dated;
- is signed by the person authorised to enter into legally binding commitments on behalf of the applicant;
- meets the submission arrangements set out in the call;
- is submitted by the deadline.

I. INFORMATION ON THE APPLICANT

1 REFERENCES OF THE APPLICANT

1.1 IDENTITY OF THE APPLICANT

| Acronym: (if applicable) | |
|---|---|
| Official legal form: (Not applicable if the applicant is a natural) | person) |
| legislation: reply by yes or no) | itute a party in court proceedings - under the applicable national onal law please indicate the representative empowered to take part |
| Company registration number: (Not applicable if the applicant is a public-sthe number of his/her identity card or, failing | sector body. For natural persons, the applicant should indicate ag that, of his passport or equivalent) |
| VAT number: (If the applicant is not subject to VAT, this | must be justified in the light of the applicable national legislation) |
| available at: | |
| available at: | |
| available at: 1.2 CONTACT DETAILS | |
| 1.2 CONTACT DETAILS Street address: | |
| 1.2 CONTACT DETAILS Street address: Postcode: | |
| 1.2 CONTACT DETAILS Street address: Postcode: City: | |
| 1.2 CONTACT DETAILS Street address: Postcode: City: Region (if applicable): | |
| 1.2 CONTACT DETAILS Street address: Postcode: City: Region (if applicable): Country: | |
| 1.2 CONTACT DETAILS Street address: Postcode: City: Region (if applicable): Country: Telephone: | |
| 1.2 CONTACT DETAILS Street address: Postcode: City: Region (if applicable): Country: Telephone: Fax: | |
| 1.2 CONTACT DETAILS Street address: Postcode: City: Region (if applicable): Country: Telephone: Fax: E-mail address: | |
| 1.2 CONTACT DETAILS Street address: Postcode: City: Region (if applicable): Country: Telephone: Fax: | |
| 1.2 CONTACT DETAILS Street address: Postcode: City: Region (if applicable): Country: Telephone: Fax: E-mail address: Website: | Mobile: |
| 1.2 CONTACT DETAILS Street address: Postcode: City: Region (if applicable): Country: Telephone: Fax: E-mail address: | Mobile: |
| 1.2 CONTACT DETAILS Street address: Postcode: City: Region (if applicable): Country: Telephone: Fax: E-mail address: Website: | Mobile: NSIBLE FOR THE PROPOSAL |
| 1.2 CONTACT DETAILS Street address: Postcode: City: Region (if applicable): Country: Telephone: Fax: E-mail address: Website: 1.3 CONTACT PERSON RESPO | Mobile: NSIBLE FOR THE PROPOSAL |
| 1.2 CONTACT DETAILS Street address: Postcode: City: Region (if applicable): Country: Telephone: Fax: E-mail address: Website: 1.3 CONTACT PERSON RESPO | Mobile: NSIBLE FOR THE PROPOSAL First Name: |

| 1.4 LEGAL REPRESENTATIVE (AUTHORISED TO SIGN THE AGREEMENT) | | | | |
|--|--|--|--|--|
| Family name: | First Name: | | | |
| Position/Function: | | | | |
| Mandate: | | | | |
| (May be different from the position –useful for entiti | | | | |
| Telephone: | Mobile: | | | |
| | | | | |
| E-mail address: | | | | |
| | | | | |
| 2 BANK DETAILS | | | | |
| The account to be used for the action or work programme for which a grant is being requested must allow payments made by the Union to be identified. | | | | |
| | | | | |
| he bank details are attached at annex in the E | , , | | | |
| he form is available at: | | | | |
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| 3 PROFILE OF THE APPLICANT | | | | |
| | | | | |
| | | | | |
| PROFILE OF THE APPLICANT – GEN | ERAL AIMS AND ACTIVITIES | | | |
| [Year of foundation]: | | | | |
| Applicant's category: | | | | |
| The applicant may tick several options | | | | |
| | | | | |
| Public Authority | International Organisation | | | |
| | <u>_</u> | | | |
| Non-profit making organisation | Social Partner | | | |
| | _ | | | |
| Educational Establishment | Research Centre/Institute | | | |
| | | | | |
| | | | | |
| Others | ☐ SME | | | |
| | | | | |
| ☐ Others ☐ Natural Persons | SME | | | |
| Natural Persons | SME description of the organisation/group, where | | | |

II. OPERATIONAL AND FINANCIAL CAPACITY¹

1 OPERATIONAL CAPACITY

1.1 APPLICANT'S OPERATIONAL CAPACITY TO COMPLETE THE PROPOSED ACTION

The applicant should provide a description of its relevant competences and previous experiences as well as those of the key staff who will be involved in the project (according to their profiles or CVs) as a proof of its capacity to implement the action effectively. For details see section 8.2 of the call for proposals.

2 FINANCIAL CAPACITY

LEGAL NOTICE

Where the application concerns grants for an action for which the amount exceeds EUR 750 000 an audit report produced by an approved external auditor must be submitted, (except for secondary and higher education establishments). This report should certify the accounts for the last financial year available.

This does not apply to natural persons in receipt of scholarships nor to public bodies or international organisations.

2.1. PROOF OF FINANCIAL CAPACITY

The applicant should provide the following document[s] as evidence of financial capacity:

- Balance sheets or extracts from balance sheets for the last two financial years for which the accounts have been closed.
- Profit and loss account for the last two financial years for which the accounts have been closed. For newly created entities, the business plan will replace closed accounts.

2.2 GUARANTEES GRANTED BY THIRD PARTIES

(if any – the applicants should state the presence of any guarantees which is provided by one or more third party, for example a State guarantee)

III. INFORMATION ON THE ACTION FOR WHICH THE GRANT IS REQUESTED

| 1 DESCRIPTION OF THE ACTION | | | |
|---|--|--|--|
| For each sub paragraph hereunder describe with no more than 300 words | | | |
| Title: | | | |
| Reference: | | | |
| | | | |
| a) Describe the general and specific objectives that the action aims to achieve: The applicant should explain how the general and specific objectives of the action will contribute to the objectives of the Union grant programme concerned. Where possible, specify related indicators to assess the project's achievements and expected impacts. | | | |
| | | | |
| b) Describe the action (on the basis of the main activities planned) and where it will be implemented | | | |
| | | | |
| implemented | | | |
| implemented c) Methodology to be followed: The applicant should explain the methodology, both theoretical and practical, that will be followed in order | | | |
| c) Methodology to be followed: The applicant should explain the methodology, both theoretical and practical, that will be followed in order to meet the general and specific objectives of the action described above. | | | |

e) Project management:

The applicant should provide a detailed description of the organization of the action and of the implementation phase, specifying arrangements for monitoring, supervision and risk management. The applicant should also explain which part of the action might be subcontracted (list of main activities, or works to be carried out)².

f) Arrangements for monitoring/supervision of the operation and risks involved in its implementation:

The applicant should explain how the action will be supervised. The applicant should refer to any risks involved in its implementation, how they might affect the objectives and outcomes of the action and how they could be mitigated.

g) Sustainability of the project's achievements:

The applicant should explain how sustainability will be secured once the action has been completed. This can include considerations about different dimensions of sustainability: financial, economic, institutional (structures which would allow the results of the action to continue), environmental, policy, etc. (where applicable, depending on the provisions of the basic act).

The value of subcontracts should be clearly indicated in the Budget under the "other implementation contracts" heading.

7

TIMETABLE FOR EACH STAGE OF THE ACTION SHOWING MAIN 2.(a) DATES AND EXPECTED RESULTS FOR EACH STAGE (table to be repeated as many times as necessary) Semester 1 Semester 2 Activity Month 1 3 4 5 6 8 9 10 11 12 Preparation Activity 1 (title) Implementation Activity 1 (title) Preparation Activity 2 (title) Etc.

2 PLANNED DURATION OF THE ACTION (in months):

LEGAL NOTICE

Planned starting date:

Applicants are informed that, under the Financial Regulation applicable to the general budget of the European Union, no grants may be awarded retrospectively for actions already completed. In those exceptional cases accepted by the Commission where applicants demonstrate the need to start the action before the agreement is signed or the decision notified, expenditure eligible for financing may not have been incurred before the grant application was.

3 BUDGET

3.1 Estimated Budget - Annex 1

Applications must include a detailed estimated budget in balance in which all costs are given in euros. Applicants from countries outside the euro zone may use either the conversion rates published in the Official Journal of the European Union, series C, during the month in which they are submitting the application, or the monthly rate published on the Commission's website at _____

Summary (in €)

- a) Eligible costs:
- b) Total costs:
- c) Requested Union Funding:
- d) Rate of funding requested:

IV. ADDITIONAL FUNDING 1 UNION FUNDING 1.1 IN PREVIOUS YEARS Has the applicant already obtained any Union grants, contracts or loans from a Union Institution or body/agency of the Union, directly or indirectly for similar/complementary action(s) during the last 3 years? NO YES – Continue to the following table **GRANT, CONTRACT OR LOAN DETAILS** – To be specified for each of them (previous years - add columns if necessary) **Programme 1** Programme 2 Title of the operation **Union Programme** concerned Union Institution or Body/Agency which took the award decision Year award duration of the operation Value of the grant, contract or loan 1.2 CURRENT YEAR Has the applicant submitted grant/loan applications for similar/complementary action(s) or offers of service or an operating grant to the Union Institutions/bodies/agencies in the current year? **GRANT, CONTRACT OR LOAN DETAILS** – To be specified for each of them (current year - add columns if necessary) **Programme 1 Programme 2** Title of the operation **Union Programme**

concerned

Institution

Body/Agency which will

or

Union

| take the award decision | | | | |
|--|---|--------------------------------|--|--|
| Planned duration of the operation | | | | |
| | | | | |
| Estimated value of the | | | | |
| grant, contract or loan | | | | |
| | | | | |
| LEGAL NOTICE The applicant must inform the Comm submitted if any of the above-mentioned Commission departments or Union Institutem after the submission of this grant ap | applications for fund utions or bodies/age | ding made to other European | | |
| | | | | |
| 2 OTHER SOURCES OF EXTERNAL | FUNDING - NON | IINION | | |
| 2.1 SUPPORT AWARDED | TONDING - NON | | | |
| Has the applicant already received confirmation relating to any external funding for the action? | | | | |
| □NO | | | | |
| YES – Continue to the table in the An | nexes (Budget) | | | |
| The applicant must submit a letter confirming the financial contribution awarded or any other proof thereof, signed by each third party. | | | | |
| 2.2 REQUESTED SUPPORT | | | | |
| Has the applicant requested, applied or funding for the action? | s awaiting confirma | ation relating to any external | | |
| □NO | | | | |
| YES – Continue to the table in the An | nexes (Budget) | | | |
| | | | | |

V. DECLARATION BY THE APPLICANT

| The undersigned [insert name of the signatory of this form]: |
|--|
| in [his][her] own name [for a natural person] |
| or |
| representing the following legal person: [only if the applicant is a legal person] |
| full official name: |
| official legal form: |
| full official address: |
| VAT registration number: |

hereby requests from the Commission a grant of EUR with a view to implementing the action on the terms laid down in this application.

I certify that the information contained in this application is correct and complete and that none of the applicants has received any other Union funding to carry out the action that is the subject of this grant application.

I certify that the applicant is not in one of the situations which would exclude it from receiving Union grants and accordingly

- declare that the applicant is not in one of the following situations:
- a) is bankrupt or being wound up, is having its affairs administered by the courts, has entered into an arrangement with creditors, has suspended business activities, is the subject of proceedings concerning those matters, or is in any analogous situation arising from a similar procedure provided for in national legislation or regulations;
- b) has been convicted of an offence concerning professional conduct by a judgment of a competent authority of a Member State which has the force of *res judicata*;
- c) has been guilty of grave professional misconduct proven by any means which the Commission can justify including by decisions of the European Investment Bank and international organisations;
- d) is not in compliance with all its obligations relating to the payment of social security contributions and the payment of taxes in accordance with the legal provisions of the country in which it is established, with those of the country of the authorising officer responsible and those of the country where the action is to be implemented;
- e) has been the subject of a judgement which has the force of *res judicata* for fraud, corruption, involvement in a criminal organisation, money laundering or any other illegal activity, where such activity is detrimental to the Union's financial interests;
- f) is subject to an administrative penalty for being guilty of misrepresenting the information required as a condition of participation in a procurement procedure or another grant award procedure or failing to supply this information, or having been declared to be in serious breach of its obligations under contracts or agreements covered by the Union's budget.
- > [[Only for legal persons other than Member States and local authorities, otherwise delete] declare that the natural persons with power of representation, decision-making or

control³ over one of the applicants that are legal entities are not in the situations referred to in b) and e) above;]

declare that the applicant:

- g) has no conflict of interests in connection with the grant; a conflict of interests could arise in particular as a result of economic interests, political or national affinity, family, emotional life or any other shared interest;
- h) will inform the Commission, without delay, of any situation considered a conflict of interests or which could give rise to a conflict of interests;
- i) has not granted and will not grant, has not sought and will not seek, has not attempted and will not attempt to obtain, and has not accepted and will not accept any advantage, financial or in kind, to or from any party whatsoever, where such advantage constitutes an illegal practice or involves corruption, either directly or indirectly, inasmuch as it is an incentive or reward relating to the award of the grant;
- j) provided accurate, sincere and complete information within the context of this grant award procedure.

[In case of award of grant, the following evidence shall be provided upon request and within the time limit set by the Commission⁴:

For situations described in (a), (b) and (e), production of a recent extract from the judicial record is required or, failing that, a recent equivalent document issued by a judicial or administrative authority in the country of origin or provenance showing that those requirements are satisfied. Where the applicant is a legal person and the national legislation of the country in which the applicant is established does not allow the provision of such documents for legal persons, the documents should be provided for natural persons, such as the company directors or any person with powers of representation, decision making or control in relation to the applicant .

For the situation described in point (d) above, recent certificates or letters issued by the competent authorities of the State concerned are required. These documents must provide evidence covering all taxes and social security contributions for which the applicant is liable, including for example, VAT, income tax (natural persons only), company tax (legal persons only) and social security contributions.

For any of the situations (a), (b), (d) or (e), where any document described in the two paragraphs above is not issued in the country concerned, it may be replaced by a sworn or, failing that, a solemn statement made by the interested party before a judicial or administrative authority, a notary or a qualified professional body in his country of origin or provenance.

If the applicant is a legal person, information on the natural persons with power of representation, decision making or control over the legal person shall be provided only upon request by the Commission.]

I declare that the applicants are fully eligible in accordance with the criteria set out in the specific call for proposals.

I certify that the applicants have the financial and operational capacity to carry out the proposed action.

I acknowledge that according to Article 131 of the Financial Regulation of 25 October 2012 on the financial rules applicable to the general budget of the Union (Official Journal L 298 of 26.10.2012, p. 1) and Article 145 of its Rules of Application (Official Journal L 362, 31.12.2012, p.1) applicants found guilty of misrepresentation may be subject to administrative and

³ This covers the company directors, members of the management or supervisory bodies, and cases where one natural person holds a majority of shares.

⁴ In accordance with art.197 RAP, the RAO may, depending on a risk assessment, request that successful applicants provide the evidence of their complying with the exclusion criteria.

| financial penalties under certain conditions. |
|--|
| If selected to be awarded a grant, the applicants accept the general conditions as laid down in the grant agreement. |
| Last name, first name: |
| Title or position in the consortium: |
| Signature [and official stamp] of the applicant: |
| Date: |
| Your reply to the grant application will involve the recording and processing of personal data (such as your name, address and CV), which will be processed pursuant to Regulation (EC) No 45/2001 on the protection of individuals with regard to the processing of personal data by the Community institutions and bodies and on the free movement of such data. Unless indicated otherwise, your replies to the questions in this form and any personal data requested are required to assess your grant application in accordance with the specifications of the call for proposals and will be processed solely for that purpose by the Director of the Shared Resource Directorate of DGs MOVE/ENER. Details concerning the processing of your personal data are available on the privacy statement at the page: |
| Your personal data may be registered in the Early Warning System (EWS) only or both in the EWS and Central Exclusion Database (CED) by the Accounting Officer of the Commission, should you be in one of the situations mentioned in: - the Commission Decision 2008/969 of 16.12.2008 on the Early Warning System (for more information see the Privacy Statement on |
| - the Commission Regulation 2008/1302 of 17.12.2008 on the Central Exclusion Database (for more information see the Privacy Statement on |

CHECK-LIST FOR APPLICANTS

| All sections of the application form have been filled in, where appropriate, in accordance with the guide for applicant or any other document provided as guidance related to the programme concerned. | |
|--|--|
| The budget annex has been duly filled in and is attached. | |
| Letters of commitment by co-financiers have been included with the application form. | |
| Legal details have been included in the Legal Entity Form annexed. | |
| Bank details have been included in the Bank Account Form. | |
| Balance sheets or extracts from balance sheets for the last year for which accounts have been closed have been included with the application form. | |
| Profit and loss account for the last financial year for which the accounts have been closed has been included with the application form. | |